



# Genetic Analyses Benefit From Using Less Heterogeneous Phenotypes: An Illustration With the Hospital Anxiety and Depression Scale (HADS)

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**ABSTRACT:** Phenotypic heterogeneity of depression has been cited as one of the causes of the limited success to detect genetic variants in genome-wide studies. The 7-item Hospital Anxiety and Depression Scale (HADS-D) was developed to detect depression in individuals with physical health problems. An initial psychometric analysis showed that a short version (“HADS-4”) is less heterogeneous and hence more reliable than the full scale, and correlates equally strong with a DSM-oriented depression scale. We compared the HADS-D and the HADS-4 to assess the benefits of using less heterogeneous phenotype measures in genetic analyses. We compared HADS-D and HADS-4 in three separate analyses: (1) twin- and family-based heritability estimation, (2) SNP-based heritability estimation using the software GCTA, and (3) a genome-wide association study (GWAS). The twin study resulted in heritability estimates between 18% and 25%, with additive genetic variance being the largest component. There was also evidence for assortative mating and a dominance component of genetic variance, with HADS-4 having slightly lower estimates of assortment. Importantly, when estimating heritability from SNPs, the HADS-D did not show a significant genetic variance component, while for the HADS-4, a statistically significant amount of heritability was estimated. Moreover, the HADS-4 had substantially more SNPs with small *P*-values in the GWAS analysis than did the HADS-D. Our results underline the benefits of using more homogeneous phenotypes in psychiatric genetic analyses. Homogeneity can be increased by focusing on core symptoms of disorders, thus reducing the noise in aggregate phenotypes caused by substantially different symptom profiles.

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**KEY WORDS:** reliability; heterogeneity; depression; HADS; GCTA; GWAS

## Introduction

Major depressive disorder (MDD) and its symptoms are both widespread and heritable [Flint and Kendler, 2014]. Since the mid-20th century, dozens of studies have found that genetic variation explains between 30% and 40% of the variance in depression [Sullivan et al., 2000]. The explosive growth of genotyping technology has made it possible to search for the specific genetic variants that underlie this heritability. To date, variants that reliably predict depression have been largely elusive [Hek et al., 2013]. The most likely explanations include lack of statistical power to detect the small effects of individual variants and the heterogeneity of depression [Levinson et al., 2014]. To achieve sufficient power to detect the weak associations of individual variants, research groups have formed consortia to reach the required extremely large sample sizes [Pedersen et al., 2013; Psaty et al., 2009; Ripke et al., 2012]. However, heterogeneity of the phenotype coun-

teracts these efforts as it reduces power [Levinson et al., 2014; Lubke et al., 2014]. Heterogeneity of depression refers to the presence of different subgroups that are characterized by different depression profiles on the symptoms [Lamers et al., 2013]. It can also refer to the fact that when depression scales are factor-analyzed often multiple factors emerge, showing that these scales are multidimensional rather than unidimensional [Jang et al., 2004; Straat et al., 2013], and individuals can have different profiles on these factors. In this study, we focus on the second type of heterogeneity. Genetic analyses of depression most commonly use aggregate scores of a depression scale (i.e. sum scores, total scores). In principle, aggregate scores that are computed from a unidimensional scale (i.e. scales that have a single underlying factor) are more reliable than when computed from a multidimensional scale that also measures additional factors. More reliable aggregate scores lead to more consistent results when applied under similar conditions [Jöreskog, 1971; Mellenbergh, 1996]. This is due to the fact that the additional factors can introduce heterogeneity because of differences in profiles on these additional factors. Stated more simply, there are many different possible combinations of the factors that lead to the same

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sum score on a multidimensional scale, and this introduces noise in statistical analyses. In our study, we show that using a more reliable unidimensional version of a depression scale can contribute to improving statistical power in genetic analyses.

GWA studies have increasingly been supplemented with heritability estimation using the software Genome-wide Complex Trait Analysis [Lango-Allen et al., 2010; Lubke et al., 2012; Pedersen et al., 2013]. In this approach to heritability estimation, a genetic relationship matrix calculated from single nucleotide polymorphism (SNP) data is used to estimate how much of the variance of the phenotype is due to SNPs [Speed et al., 2012]. However, standard errors of the variance estimates in these studies are often large, leading to wide confidence intervals [Lubke et al., 2012]. As shown by Lubke et al. for Borderline Personality Disorder, this lack of power can at least partially be due to using aggregate phenotype measures that are heterogeneous [Lubke et al., 2014]. The effects of reliable versus unreliable phenotype measures have been the subject of much research in psychometrics. An important result is that unreliably measured phenotypes lead to decreased power in statistical analyses [Kaplan, 1990].

The present study focuses on the benefits of a reliable measure of depression in: (1) twin and family-based heritability estimation; (2) SNP-based heritability estimation; and (3) a genome-wide association study (GWAS). In all three parts, the Hospital Anxiety and Depression Scale (HADS-D, [Zigmond and Snaith, 1983]), is compared to more reliable short version of this scale that we selected in this study.

The HADS-D was developed to identify nonsomatic depressive symptoms in patients undergoing general medical care, and therefore only assesses part of the DSM depression symptoms. Factor-analytic studies of depression scales commonly discriminate between somatic and nonsomatic factors [Jang et al., 2004; Lux and Kendler, 2010]. Still, although focusing exclusively on nonsomatic depressive symptoms, the HADS-D has been shown in psychometric analyses to be multi-dimensional, featuring several correlated factors [Mykletun et al., 2001; Straat et al., 2013]. These results imply a decreased utility of the HADS-D total score in genetic analyses because of phenotypic heterogeneity [Bollen and Lennox, 1991]. In other words, the HADS-D score is as a less reliable measure of depression because it sums correlated but different dimensions. In order to increase reliability in measuring depression, we constructed a total score derived from a unidimensional subset of HADS-D items. We compared the performance of this subscale score (“HADS-4”) to that of the HADS-D total score in three separate genetic analyses.

Our study consisted of: (1) an investigation of the psychometric properties of the HADS-D using item factor analysis, resulting in the construction and validation of a unidimensional, more reliable short version, the HADS-4; (2) heritability estimation based on nuclear families of twins (twin pairs, their siblings, and parents); (3) heritability estimation based on SNPs collected on essentially unrelated individuals using the software GCTA, an increasingly common approach in psychiatric genetics to test if twin-based heritability estimates can be recovered with SNP data; and (4) a GWAS. In

parts (2)–(4), we compared the performance of the HADS-D and HADS-4. For all analyses we used data collected in the Netherlands Twin Register (NTR) [Willemsen et al., 2013]. Note that based on the sample size with available HADS-D and SNP data in the NTR ( $N = 5,777$ ) we did not expect significant results in the GWAS. This part was included to assess the difference in statistical power between the two versions of the HADS in a GWAS.

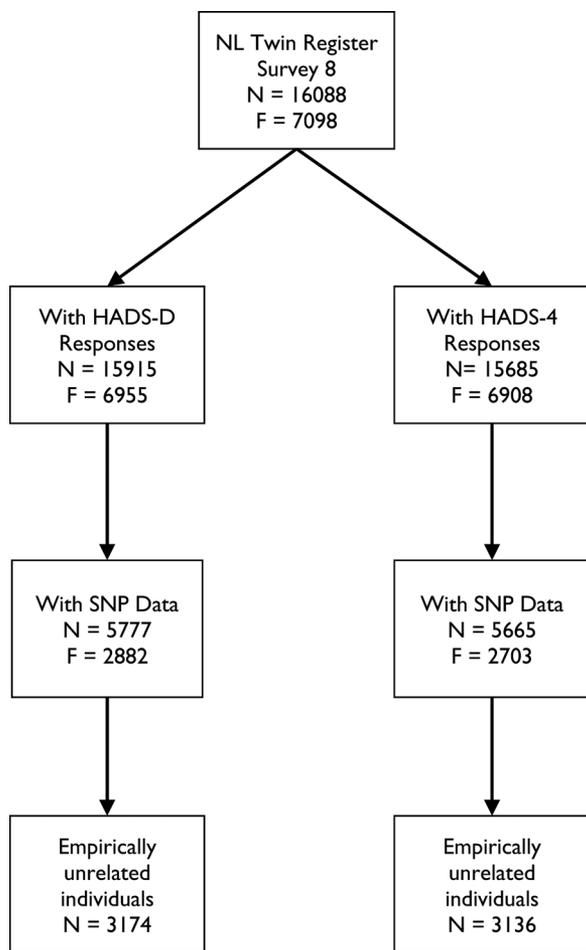
## Materials and Methods

### Subjects and Materials

Individuals who participated in the eighth wave of data collection by the NTR supplied data on depression from multiple instruments. The NTR is a longitudinal twin-family study of mental and somatic health. A detailed description of the data collection and methods used, including IRB approval, measurements taken, genotyping procedures, and quality control is provided in Willemsen et al. [2013].

We analyzed phenotypic data from a sample of 15,997 individuals in 7,078 families. The depression phenotype data consisted of responses to Dutch translations of the HADS-D and the ASEBA Adult Self-Report Depressive Problems Scale (ASR) [Reef et al., 2009; Spinhoven et al., 1997]. The ASR is an instrument for which a scoring algorithm based on DSM symptomatology was developed, and which also records somatic symptoms that are omitted from the HADS-D [Achenbach et al., 2005]. We used ASR scores as a criterion to validate that the HADS-4 performs similarly to the full HADS-D as a measure of depression. We used maximum-likelihood estimation with the EM algorithm, which enabled us to use individuals missing a small number of responses. Individuals missing more than 30% of responses to HADS-D, HADS-4, or ASR items were excluded in order to ensure convergence.

Figure 1 provides a flowchart showing the available data on the different scales, as well as which parts of the data were used for which part of the analyses. For the psychometric analyses, all individuals of each family were included, and analyses were carried out with statistical corrections for relatedness [Savalei, 2014]. In the twin and family analyses, within-family covariance matrices were based on the data of two twins, their parents, and two siblings. Many families did not have data from the complete set of six individuals; due to this incomplete data, the EM algorithm was used to estimate the covariance matrix [Jamshidian and Jennrich, 1997]. Table S1 in the Supporting Information gives percentages of families by structure; for example 44.6% of families had maternal data and 29.8% had paternal data, and 21.7% had data from both parents. The lower percentage of subjects with parent data reflects the presence of older subjects in the data. SNP-data were available for  $N = 5,777$  with HADS-D, and for  $N = 5,665$  with HADS-4. The difference in  $N$  was due to individuals missing two of the HADS-4 items, which exceeded the 30% missingness criterion for the HADS-4 but not the HADS-D. The sample sizes for essentially unrelated



**Figure 1.** Flowchart of study participants with non-missing genotypic and phenotypic data.

individuals were  $N = 3,174$  (HADS-D), and  $N = 3,136$  (HADS-4). All individuals with SNP data were included in the GWAS whereas the GCTA analysis was based on essentially unrelated individuals. For individuals who had been genotyped, four additional covariates were defined: three principal component (PC) scores representing geographic origin in the Netherlands and a fourth representing genotyping platform [Boomsma et al., 2014]. These PCs were used in the GCTA analysis as well as the GWAS.

In the next four sections, we outline the methods for (1) analyzing the measurement properties of the HADS-D and for choosing the first four items as the most reliable, homogeneous subset, (2) the twin-based heritability analyses, (3) the SNP-based heritability analyses with GCTA, and (4) the GWAS that was done in Plink [Purcell et al., 2007].

### Analysis 1: HADS-D and Its Psychometric Properties

The HADS-D is a 7-item scale. Each HADS-D item has ordered responses that are coded from 0 to 3, with the total score ranging from 0 to 21. The individual items and their responses are listed in Table S2 of the Supporting Information.

We analyzed the dimensionality of the HADS-D and the reliability of its items using factor analysis. To avoid unnecessary capitalizing on chance, we split the data into a smaller set for the exploratory factor models (data from 2,986 families, 2,418 males, and 4,342 females), and a larger set for the confirmatory factor models (data from the remaining 4,092 families, 3,338 males, and 5,899 females). Model fitting was done using Mplus 7 [Muthén and Muthén, 1998, 2012]. Since we included related individuals in the factor analyses, we used maximum likelihood estimation with robust standard errors [Savalei, 2014]. The EFA showed that the first four HADS-D items loaded on a single factor, whereas the remaining items also loaded on additional factors, thus replicating previous findings [Mykletun et al., 2001; Straat et al., 2013]. We therefore performed item selection in the confirmatory sample, creating a short version of the HADS-D consisting of the first four items (abbreviated as “HADS-4”). Details concerning item selection and the derivation of the reliability of the HADS-D and HADS-4 scores are provided in the Supporting Information. The derivation shows that large item-specific variances can lead to a total score that is less reliable than a score based on only a few items [Bollen and Lennox, 1991].

In addition to reliability, our initial psychometric analyses also evaluated the convergent validity of the HADS-D and HADS-4 items. This was done by regressing the ASR total score on the HADS-D and HADS-4, respectively. The resulting  $R^2$ 's were used as a validity coefficient [Lord et al., 1968]. The validity coefficient for the HADS-4 indicates its ability to measure the same nonsomatic aspects of depression that are targeted by the full HADS-D. In our sample,  $N = 15,018$  individuals with HADS-D scores also had ASR scores. As in all analyses, age, sex, and their interaction were used as covariates, and sandwich-type covariance estimates were used to correct standard errors for familial clustering.

### Analysis 2: Heritability Estimates Based on Twins and Relatives

In this approach to estimating heritability, the expected genetic relatedness between family members is used to decompose the phenotypic variance into genetic and environmental effects [Martin et al., 1997]. Different models of inheritance allow for the estimation of additive and nonadditive genetic effects as well as shared environment or cultural transmission [Posthuma et al., 2003]. We used Mplus 7 to fit different models of inheritance to HADS-D and HADS-4 data from twins and their families. Details are provided in the online Supporting Information. We used goodness-of-fit-statistics to compare models that included additive genetic, nonadditive genetic, family environment, gene–environment covariance, familial transmission, and assortative mating effects on phenotypic variance. We fit these models in the nuclear families of 6,955 twin pairs (2,364 MZ/4,591 DZ) in which the twin(s) and family members had HADS-D data and in the 6,908 (2,356 MZ/4,552 DZ) twin families with HADS-4 data.

### Analysis 3: Heritability Estimates Based on SNPs

The GCTA software (<http://www.complextaitgenomics.com/software/gcta/>) was used to estimate the proportion of phenotypic variance that is due to SNPs [Davis et al., 2013; Lubke et al., 2012; Plomin and Simpson, 2013]. First, a genetic relatedness matrix is calculated from the individuals' genotypes at all available SNPs. Next, the genetic relationship matrix is used as a predictor in a constrained linear-mixed model to estimate the genetic variance component. Previous research using the approach has shown that considerable sample sizes are needed to obtain heritability estimates with small confidence intervals [Visscher et al., 2014].

We used GCTA to estimate the heritability of depression in 3,174 individuals with HADS-D data and 3,136 individuals with HADS-4 data. These sample sizes are relatively small for two reasons: (1) fewer than half of the individuals in the sample used for the twin analyses had been genotyped and (2) relatedness of participants. A pair of individuals was considered essentially unrelated if they had estimated relatedness coefficients under 0.025, the default cutoff in applications of GCTA [Yang, et al., 2011]. GCTA estimates of relatedness tend to underestimate true relatedness [Powell et al., 2010]. As a result, the relatedness cutoff excludes pairs that have a most recent common ancestor approximately four generations distant, assuming no inbreeding [Lynch and Walsh, 1998]. Genetically unrelated but socially related individuals (spouses, adoptive children, etc.) were not excluded from our analysis.

Relatedness calculations were based on the genotyped SNPs in our sample that passed quality control requirements: MAF > 0.01, missingness on fewer than 1% of individuals, and a nonsignificant test of Hardy-Weinberg Equilibrium ( $P > 1e-06$ ).

### Analysis 4: GWAS

GWAS differs from the heritability estimating approaches because it aims at detecting specific SNPs that are associated with the phenotype. The association is tested between each SNP and the HADS-D and the HADS-4, respectively. The power to detect a significant association is affected by the reliability of the phenotype. In consequence, we expected that using the HADS-4 would lead to more powerful tests of association than using the HADS-D.

We performed a GWAS on 5,777 individuals with HADS-D data and a separate GWAS on 5,665 individuals with HADS-4 data. As before, the difference in sample sizes occurred because some individuals with less than 30% missing HADS-D items had more than 30% missing responses on the HADS-4 items. All individuals from each family were included in the analysis in order to optimize power [Minica et al., 2014]. Therefore association tests were based on robust standard errors.

Quality control was carried out using standard protocol, as described in detail in de Zeeuw et al. [2014]. Thresholds for allele frequency (>.01), call rate (>.99), and tests of Hardy-

**Table 1. Correlation matrix of HADS-D items for males (lower triangle) and females (upper)**

Item	1	2	3	4	5	6	7	Total
1	1	0.61	0.52	0.44	0.3	0.48	0.27	0.83
2	0.56	1	0.64	0.53	0.35	0.52	0.3	0.91
3	0.46	0.52	1	0.57	0.35	0.4	0.31	0.91
4	0.38	0.47	0.42	1	0.34	0.34	0.21	0.74
5	0.26	0.28	0.29	0.32	1	0.32	0.25	0.75
6	0.48	0.51	0.35	0.38	0.34	1	0.34	0.77
7	0.26	0.27	0.24	0.22	0.15	0.31	1	0.63
Total	0.78	0.86	0.8	0.71	0.69	0.79	0.59	1

Note: Item-total score correlations are in the bottom row and the right-most column. All other entries are correlations between items.

Weinberg Equilibrium ( $P > 1e-06$ ) were applied. After QC, 7,957,814 SNPs remained in the sample.

## Results

### Analysis 1: Psychometric Investigation of HADS-D

#### Factor Analyses

Correlations between individual items and the total score were relatively large (as shown in Table 1) and were generally stronger in females than in males. Inter-item correlations were moderate and also tended to be stronger in females. Items seven (can enjoy mass media) and five (indifferent to appearance) had the weakest inter-item correlations overall.

In both males and females, the eigenvalues of the correlation matrices suggested that the first factor accounts for about 45% of the variance. These are presented in Table S3 in the Supporting Information. We fit EFA models with one to three factors using Mplus 7 [Muthén and Muthén, 1998, 2012]. Although the three-factor model had significantly better fit than the two factor and single-factor models, the observed eigenvalues, the modest decreases in residual variances when adding more than one factor, and large correlations between factors all pointed to a single-factor model. Patterns of factor loadings from the EFA are presented in Tables S4 and S5 of the Supporting Information. Further justification for the single-factor model is also given in the "Item Factor Analysis and Item Selection" subsection of the Supporting Information. The confirmatory factor analysis showed that when fitting a single factor model, the first four items of the HADS-D were the most reliable indicators as quantified by squared correlations with the factor ( $R^2 > .4$ ). The factor loadings in the confirmatory model are presented in Table S6 of the Supporting Information.

#### Validity

The ASR was used as a criterion to assess the potential loss of information when using the HADS-4 compared to the full HADS-D. Note that this does not imply that the ASR has to be a golden standard. The correlation between HADS-D scores and DSM-oriented ASR scores was .54 (SE = .008,  $P < .001$ ), whereas the HADS-4 total score had  $r = .59$

**Table 2. Observed correlations of HADS-D scores of twins and their families**

		DZ Fams					
		T1	T2	Sib1	Sib2	Father	Mother
MZ Fams	T1	.					
	T2	.312*	.				
	S1	.026	.021	.			
	S2	.179	.146	-.086	.		
	F	.153*	.060	.063	.067	.	
	M	.137*	.075	.046	-.153	.23*	.

Note: MZ families are below the diagonal.

\* indicates a correlation that is more than 2 standard errors from 0. About 90% of families lack sibling data and 80% lack paternal data, resulting in standard errors being large for correlations not involving twins or their mothers.

(SE = .007,  $P < .001$ ). This result demonstrates the validity of the HADS-4. Further support for our choice of the first four HADS-D items as a reliable measure of depression comes from regressing the ASR on the individual HADS-D items. The HADS-4 items had the largest partial correlations with depression as measured with the ASR. In the multiple regression predicting ASR, the HADS-4 items alone had multiple  $R^2$  of .354. Conditioning on the covariates age, gender, and their interaction increased this to  $R^2 = .395$ . Adding the remaining HADS items to the analysis yielded  $R^2 = .401$ . Given the first four HADS items and covariates, the remaining HADS items contribute little to the validity of the HADS-D.

### Analysis 2: Heritability Estimates Based on Twins and Relatives

Families consisted of twin pairs, their parents, and up to two siblings of the twins. Patterns of missingness in families are given in Supporting Information Table 1. Note that although fewer than 30% of families had sibling or paternal data, there were still 1,530 siblings and 2,073 fathers providing data to these analyses. Table 2 shows familial correlations for HADS-D scores; HADS-4 scores are similar, and are shown in the online Supporting Information (Supporting Information Table S7). In all models, the sibling-sibling and DZ twin-pair correlations were constrained to be equal. See the Supplementary Methods section of the Supporting Information for more specific information concerning the fitted twin models.

We fitted models that estimated additive and dominant genetic variance components (denoted “A” and “D”), the effects of shared environment (denoted “C”), assortative mating (“ $\mu$ ”), and cultural transmission, which induces gene-environment covariance (“W”). The variance due to nonshared environment and measurement error cannot be distinguished, and their joint variance was denoted “E.” The models that were compared are listed according to the parameters estimated in them: for example, the “ACE” model contains estimates of additive genetic, shared environment, and nonshared environmental variance components. Model fit comparisons were based on the sample-size adjusted Bayesian Information Criterion [Schlove, 1987].

**Table 3. SNP-based heritability of depression as measured by HADS-D and HADS-4**

Phenotype	A(SE)	logLik	P-value
HADS-D	0.13(0.10)	2.06	0.15
HADS-4	0.21(0.10)	4.98	0.026

Note: “A” denotes the estimated additive variance, “SE” denotes standard error, and “logLik” is twice the difference in log likelihood between the models with and without the additive variance component.

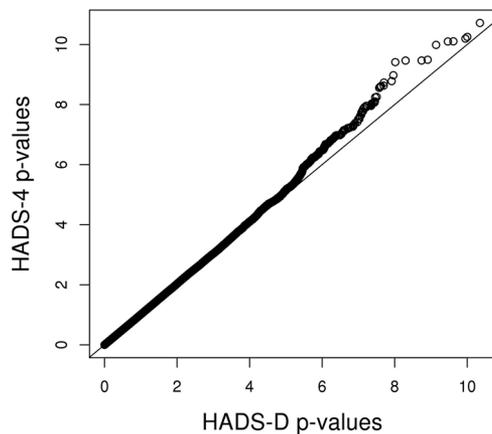
The two HADS phenotypes showed very similar patterns of results across the twin and family models (see Supporting Information Tables S8 and S9). Importantly, for both phenotypes, estimates of the additive heritability tended to be lower than previous twin studies that used depression scales including somatic symptoms [Sullivan et al., 2000]. However, our results are in line with heritability estimates of nonsomatic depression factors [Jang et al., 2004].

The best-fitting models, both for HADS-D and HADS-4, included significant effects of assortative mating. Model fit comparisons made  $ADE\mu$  (i.e. ADE with assortative mating) the model of choice.

A comparison of HADS-D and HADS-4 showed that the HADS-4 had slightly smaller estimates of nonshared environmental/error variance, confirming that this phenotype is more reliable. In addition, phenotypic assortment was slightly lower in the HADS-4 than the HADS-D. This suggests that the excluded HADS-D items may measure features that contribute to assortative mating.

### Analysis 3: Heritability Estimates Based on SNPs

The narrow-sense heritability estimate that was calculated using GCTA in essentially unrelated individuals was significant for the HADS-4 phenotype but not for the HADS-D. The heritability estimates were .13 for the HADS-D and .21 for the HADS-4 (Table 3). This result shows that the HADS-D is indeed a more heterogeneous phenotype measure that is associated with less variance explained by genetic similarity between participants. Note that again, the estimates were somewhat lower than previously published SNP-based heritability estimates of other, alternative depression measures. For instance, for an MDD case/control phenotype estimates were .32, [Lubke et al., 2012] and .21 [Lee et al., 2013], for antidepressant response this was .42 [Tansey et al., 2013]; and for age at depression onset, 0.51 [Power et al., 2012]. As noted before, the HADS differs from other depression measures in that it does not take into account somatic symptoms, which are likely contributing to estimates of heritability [Mykletun et al., 2001; Zigmond and Snaith, 1983]. However, the additive genetic variance estimate of 21% using the HADS-4 as phenotype agrees with previous twin-based heritability estimates of nonsomatic depression factors [Jang et al., 2004], and also with our twin-based estimate of additive variance in the  $ADE\mu$  model. The standard errors of the estimates were still relatively large even for the HADS-4 (i.e. 0.10), due to the small sample size of  $N = 3,136$  in the GCTA analyses.



**Figure 2.** A quantile-quantile plot of  $P$ -values from the HADS-D and the HADS-4 shows a trend toward more significant associations for the HADS-4 ( $P$ -values are log-transformed).

#### Analysis 4: GWAS

The HADS-4 showed a larger number of strong GWAS associations than did the HADS-D. This is illustrated in Fig. 2, which shows the heavier right tail of the distribution of negative, log-transformed HADS-4  $P$ -values. This result shows that on average, the HADS-4 had more powerful tests than did the HADS-D.

Note that this result does not imply that for any given SNP, the HADS-4 phenotype provided a more powerful test. To illustrate, we ranked SNPs by their  $P$ -values under both phenotypes and correlated the rankings. The top-ranked HADS-D SNPs did not have the same  $P$ -value rankings under the HADS-4 phenotype, and vice-versa. For instance, the  $P$ -values of the top 1,000 SNPs using the HADS-D correlated only 0.352 with their  $P$ -values resulting from using the HADS-4. The low correlations might be due to noisier GWAS results of the HADS-D, which would again suggest that the HADS-4 is a preferable measure in a GWAS.

Finding genetic markers associated with depression is challenging since depression is highly polygenic—caused by many mutations of small effects [Gratten et al., 2014]. Furthermore, depression is characterized by a diverse set of symptoms. As a consequence, a sum score of all symptom endorsements can be due to quite different symptom profiles. Our result that the HADS-4 had a larger number of strong associations compared to the HADS-D shows that power can be gained by focusing on core symptoms, and that more homogeneous depression measures should be preferred in association analyses.

## Discussion

Our analyses showed that the sum of responses to the first four HADS-D items (“HADS-4”) provides a more homogeneous measure of nonsomatic depression, and that HADS-4 performed as well as or better than the full HADS-D scale.

Generally, the HADS-4 yielded more powerful tests in different genetic analyses. The GCTA and GWAS analyses confirmed that the increased homogeneity of the HADS-4 led to increased statistical power. The twin and family analyses were consistent with these results, as the estimate of nonshared environment/error variance was smaller for the HADS-4 than for the HADS-D.

More specifically, our twin analyses suggested that additive genetic variance is responsible for approximately 20% of the variability in HADS-D and HADS-4 scores. This is lower than has been observed for depression in general, and is likely due to the content of the HADS. The HADS was designed to measure the nonsomatic symptoms of depression in the hospital setting, where unrelated medical complaints could easily confound self-reports of somatic depression symptoms (e.g. lethargy, changed appetite, sleep problems, etc.). Our estimate is consistent with estimates of twin-based heritability of nonsomatic depression factors [Jang et al., 2004]. Our finding that shared environment was not a significant contributor to depression is consistent with previous results [Flint and Kendler, 2014]. Further research might focus on investigating the heritability of the somatic symptoms. For instance, Trzaskowski et al. [2013], observed low SNP-based heritability estimates both for somatic and nonsomatic depressive symptoms in children, which they attributed to nonadditive inheritance. We observed some evidence that nonadditive effects are associated with HADS scores in our twin-and-family analyses.

Both our SNP-based heritability analyses and the GWAS supported our claim that genetic analyses benefit from using homogeneous phenotype measures. Specifically, the HADS-4 provides a more homogeneous depression phenotype that should be preferred by consortia researching depression using the HADS [Bjerkeset et al., 2008; Deary et al., 2013; Zammit et al., 2012]. To illustrate, if the true heritabilities of the HADS phenotypes were equal to our SNP-based estimates, then a replication study testing heritability of the HADS-4 would have statistical power of .76, while one using the HADS-D would have power of .37 [Visscher et al., 2014, <http://spark.rstudio.com/ctgg/gctaPower/>]. This comparison is based on strong assumptions, but if our results are representative, using the HADS-4 is nevertheless likely to yield considerably more powerful tests. In the GWAS analyses, the HADS-4 phenotype had a larger number of strong associations than did the HADS-D. The HADS-D and HADS-4 samples were nearly identical, which implies that using the HADS-4 results in more powerful tests of association on average, making it more desirable as a depression phenotype. The relationship between SNP association coefficients and polygenic risk scores implies that using HADS-4 is also likely to yield increases in power in polygenic risk score analyses of depression [Dudbridge, 2013].

Increases in power as well as consistency of results across different cohorts should be expected more generally in genetic analyses when phenotypic heterogeneity is reduced. Homogeneity can be increased by focusing on core symptoms, thus reducing the noise in the aggregate scores that is due to substantially different symptom profiles.

The main limitation of our study is that all analyses were conducted in a single dataset, and with a specific depression measure. The next step is to conduct similar analyses with different phenotype measures and with simulated data in order to generalize the results and conclusions. We expect that using homogeneous phenotypes in genetic studies will generally be beneficial, but also that there will be a lower limit to the number of items that need to be included when summing a scale. As shown in Lubke et al., using individual items is clearly not optimal as they contain too much error [2014]. The challenge in deriving homogeneous phenotype measures is therefore to select individual scale items that measure the characteristic symptoms of a unidimensional trait.

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